

# MINTZ LEVIN

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## fax transmittal

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### FROM:

**Name** Isaac M. Rutenberg

**Date** April 15, 2008

**# of Pages** 33

### TO:

Name	Company	Business#	Fax #
Examiner Porfirio Nazario Gonzalez	USPTO		571-273-0641

### Comments:

U.S. Patent No. 6,111,121

Issued: August 20, 2000

For "High Metathesis Activity Ruthenium and Osmium Metal Carbene Complexes"

By Robert H. Grubbs et al.

Atty Dkt No. 1950-0032.10

Dear Examiner Gonzalez:

Further to your conversation with Yesenia Garcia of earlier today, please see the attached resubmission of the Petition to Correct Inventorship that was filed February 15, 2006 for the above-referenced patent.

Respectfully Submitted,



Isaac M. Rutenberg, Reg. No. 57,419  
Patent Agent

Please call us at 650-251-7700 if you experience any problems.

#### STATEMENT OF CONFIDENTIALITY

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**Mintz, Levin, Cohn, Ferris, Glovsky and Popeo, P.C.**

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*Received in  
04/21/08  
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### FROM:

Name Isaac M. Rutenberg

Date July 24, 2007

# of Pages 31

9/24/07  
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### To:

Name	Company	Business#	Fax #
Petitions Office	USPTO		(571) 273-8300

### Comments:

U.S. Patent No. 6,111,121

Issued: August 20, 2000

For "HIGH METATHESIS ACTIVITY RUTHENIUM AND OSMIUM METAL CARBENE COMPLEXES"

by Robert H. GRUBBS et al.

Atty Dkt No. 1950-0032.10

Please see the attached copy of the Petition to Correct Inventorship in Patent that was filed February 15, 2006 for the above-reference patent. The Petition is being resubmitted at the suggestion of Primary Examiner Porfirio Nazario-Gonzalez. If the Petitions Office has any questions regarding the Petition, a telephone call to the undersigned would be appreciated.

Respectfully Submitted,



Isaac M Rutenberg, Reg. No. 57,419  
Patent Agent

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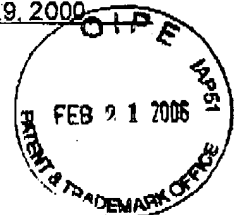
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by Robert H. GRUBBS et al.

Atty Dkt No. 1950-0032.10

✓  
Atty Dkt No.: 1950-0032.10 Mailing Date: February 15, 2006  
Inventor(s): Robert H. GRUBBS et al.  
Patent No.: 6,111,121 Issued Date: August 29, 2000

Document(s):  
Check for \$130.00  
Return Receipt Postcard  
Transmittal & Fee Transmittal  
Petition to Correct Inventorship under 37 CFR 1.324(a)  
Statement of Non-Deceptive Intent executed by Marcia B. France  
Statement of Non-Disagreement by Current Named Inventors to Add M.B. France  
(signed in counterparts by R.H. Grubbs, P. Schwab & S.T. Nguyen)  
Statement of Agreement by Assignee to Change Inventorship & Certification 3.73(b)  
Assignment & Notice of Recordation of M.B. France to California Institute of Technology  
Assignment & Notice of Recordation of Currently Named Inventors to Calif. Inst. of Tech.  
Declaration signed by Marcia B. France  
Revocation of Power of Attorney & New Power of Attorney & Change of Corresp. Address  
Statement under 37 CFR 3.73(b)



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Atty Dkt No.: 1950-0032.10 Mailing Date: February 15, 2006  
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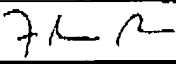
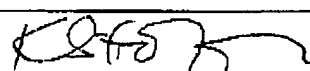
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1950-0032.10

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APR 15 2008

<b>TRANSMITTAL FORM</b> <i>(to be used for all correspondence after initial filing)</i>		Patent Number <b>6,111,121</b>				
		Issue Date <b>August 29, 2000</b>				
		First Named Inventor <b>Robert H. GRUBBS</b>				
		Art Unit <b>1621</b>				
		Examiner Name <b>Nazario Gonzalez, Porfirio</b>				
Mail Stop <b>Petition</b>		Attorney Docket Number <b>1950-0032.10</b>				
<b>ENCLOSURES (Check all that apply)</b>						
<table border="0" style="width: 100%;"> <tr> <td style="vertical-align: top; width: 33%;"> <input type="checkbox"/> No fee due  <input checked="" type="checkbox"/> Fee(s) due: <b>\$130.00</b>                      <input checked="" type="checkbox"/> Check enclosed                      <input type="checkbox"/> Charge Deposit Account No. 18-0580                          <input type="checkbox"/> 37 CFR § 1.16                          <input type="checkbox"/> 37 CFR § 1.17  <input checked="" type="checkbox"/> The Commission is authorized to charge any underpayment or credit any overpayment to Deposit Account No. 18-0580  <input checked="" type="checkbox"/> Return postcard  <input type="checkbox"/> Amendment/Response                      <input type="checkbox"/> After Final                      <input type="checkbox"/> Affidavits/declaration(s) -                          <input type="checkbox"/> Affidavits/declaration(s)  <input type="checkbox"/> ___-Month Extension of Time                 </td> <td style="vertical-align: top; width: 33%;"> <input type="checkbox"/> Terminal Disclaimer  <input type="checkbox"/> Information Disclosure Statement &amp; PTO-1449 Form(s)                      <input type="checkbox"/> Cited reference copy(ies)  <input type="checkbox"/> Response to Missing Parts / Incomplete Application                      <input type="checkbox"/> Declaration(s) by Inventor(s)  <input type="checkbox"/> Preliminary Amendment  <input type="checkbox"/> Updated Application Data Sheet  <input type="checkbox"/> Drawing(s) - ___ Sheets  <input type="checkbox"/> Compact Disk(s) - ___ CD(s)  <input checked="" type="checkbox"/> Petition to Correct Inventorship  <input checked="" type="checkbox"/> Statement of Non-Deceptive Intent  <input checked="" type="checkbox"/> Statement of Non-Disagreement  <input checked="" type="checkbox"/> Statement of Agreement  <input checked="" type="checkbox"/> Declaration  <input checked="" type="checkbox"/> Assignments &amp; Notices of Recordation                 </td> <td style="vertical-align: top; width: 33%;"> <input checked="" type="checkbox"/> Revocation of Power of Attorney with New Power of Attorney &amp; Change of Correspondence Address  <input type="checkbox"/> Request for Refund  <input type="checkbox"/> After Allowance Communication to a Technology Center (TC)  <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences  <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)  <input type="checkbox"/> Other Enclosure(s) (see remarks):                 </td> </tr> </table>				<input type="checkbox"/> No fee due <input checked="" type="checkbox"/> Fee(s) due: <b>\$130.00</b> <input checked="" type="checkbox"/> Check enclosed <input type="checkbox"/> Charge Deposit Account No. 18-0580 <input type="checkbox"/> 37 CFR § 1.16 <input type="checkbox"/> 37 CFR § 1.17 <input checked="" type="checkbox"/> The Commission is authorized to charge any underpayment or credit any overpayment to Deposit Account No. 18-0580 <input checked="" type="checkbox"/> Return postcard <input type="checkbox"/> Amendment/Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) - <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> ___-Month Extension of Time	<input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Information Disclosure Statement & PTO-1449 Form(s) <input type="checkbox"/> Cited reference copy(ies) <input type="checkbox"/> Response to Missing Parts / Incomplete Application <input type="checkbox"/> Declaration(s) by Inventor(s) <input type="checkbox"/> Preliminary Amendment <input type="checkbox"/> Updated Application Data Sheet <input type="checkbox"/> Drawing(s) - ___ Sheets <input type="checkbox"/> Compact Disk(s) - ___ CD(s) <input checked="" type="checkbox"/> Petition to Correct Inventorship <input checked="" type="checkbox"/> Statement of Non-Deceptive Intent <input checked="" type="checkbox"/> Statement of Non-Disagreement <input checked="" type="checkbox"/> Statement of Agreement <input checked="" type="checkbox"/> Declaration <input checked="" type="checkbox"/> Assignments & Notices of Recordation	<input checked="" type="checkbox"/> Revocation of Power of Attorney with New Power of Attorney & Change of Correspondence Address <input type="checkbox"/> Request for Refund <input type="checkbox"/> After Allowance Communication to a Technology Center (TC) <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Other Enclosure(s) (see remarks):
<input type="checkbox"/> No fee due <input checked="" type="checkbox"/> Fee(s) due: <b>\$130.00</b> <input checked="" type="checkbox"/> Check enclosed <input type="checkbox"/> Charge Deposit Account No. 18-0580 <input type="checkbox"/> 37 CFR § 1.16 <input type="checkbox"/> 37 CFR § 1.17 <input checked="" type="checkbox"/> The Commission is authorized to charge any underpayment or credit any overpayment to Deposit Account No. 18-0580 <input checked="" type="checkbox"/> Return postcard <input type="checkbox"/> Amendment/Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) - <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> ___-Month Extension of Time	<input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Information Disclosure Statement & PTO-1449 Form(s) <input type="checkbox"/> Cited reference copy(ies) <input type="checkbox"/> Response to Missing Parts / Incomplete Application <input type="checkbox"/> Declaration(s) by Inventor(s) <input type="checkbox"/> Preliminary Amendment <input type="checkbox"/> Updated Application Data Sheet <input type="checkbox"/> Drawing(s) - ___ Sheets <input type="checkbox"/> Compact Disk(s) - ___ CD(s) <input checked="" type="checkbox"/> Petition to Correct Inventorship <input checked="" type="checkbox"/> Statement of Non-Deceptive Intent <input checked="" type="checkbox"/> Statement of Non-Disagreement <input checked="" type="checkbox"/> Statement of Agreement <input checked="" type="checkbox"/> Declaration <input checked="" type="checkbox"/> Assignments & Notices of Recordation	<input checked="" type="checkbox"/> Revocation of Power of Attorney with New Power of Attorney & Change of Correspondence Address <input type="checkbox"/> Request for Refund <input type="checkbox"/> After Allowance Communication to a Technology Center (TC) <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Other Enclosure(s) (see remarks):				
<b>REMARKS</b>						
<b>SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT</b>						
Firm or Individual Name (print/type)	<b>Flavio M. Rose, Reg. No. 40,791</b> <b>Reed Intellectual Property Law Group</b>	Telephone	<b>(650) 251-7700</b>			
Signature		Date	<b>February 15, 2006</b>			
<b>CERTIFICATE OF MAILING</b>						
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.						
Name (print/type)	<b>Katherine Stofer</b>					
Signature		Date	<b>February 15, 2006</b>			

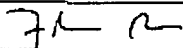
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<b>FEE TRANSMITTAL for FY 2005</b>		<i>Effective 10/01/03. Patent fees are subject to annual revision</i>	
<input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27		<i>Complete if Known</i>	
		Patent Number	6,111, 121
		Issued Date	August 29, 2000
		First Named Inventor	Robert H. GRUBBS et al.
		Examiner Name	Nazario Gonzalez Porfirio
TOTAL AMOUNT OF PAYMENT		\$130.00	Group Art Unit: 1621 Attorney Docket No.: 1950-0032.10

METHOD OF PAYMENT (check all that apply)	FEE CALCULATION (continued)																																																																																																																																																																																																				
<input checked="" type="checkbox"/> Check <input type="checkbox"/> Credit card <input type="checkbox"/> Money Order <input type="checkbox"/> Other <input type="checkbox"/> None <input checked="" type="checkbox"/> Deposit Account: Deposit Account No. 18-0580 Deposit Account Name Reed IP Law Group The Commissioner is authorized to: (check all that apply) <input type="checkbox"/> Charge fee(s) indicated below <input checked="" type="checkbox"/> Charge any underpayment or credit any overpayments <input type="checkbox"/> Charge any additional fee(s) during the pendency of this application <input type="checkbox"/> Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.	<b>3. ADDITIONAL FEES</b> <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th colspan="2">Large Entity</th> <th colspan="2">Small Entity</th> <th rowspan="2">Fee Description</th> <th rowspan="2">Fee Paid</th> </tr> <tr> <th>Fee Code</th> <th>Fee (\$)</th> <th>Fee Code</th> <th>Fee (\$)</th> </tr> </thead> <tbody> <tr><td>1051</td><td>130</td><td>2051</td><td>65</td><td>Surcharge - late filing fee or oath</td><td></td></tr> <tr><td>1052</td><td>50</td><td>2052</td><td>25</td><td>Surcharge - late provisional filing fee or cover sheet</td><td></td></tr> <tr><td>1053</td><td>130</td><td>1053</td><td>130</td><td>Non-English specification</td><td></td></tr> <tr><td>1812</td><td>2,520</td><td>1812</td><td>2,520</td><td>For filing a request for <i>ex parte</i> reexamination</td><td></td></tr> <tr><td>1804</td><td>920*</td><td>1804</td><td>920*</td><td>Requesting publication of SIR prior to Examiner action</td><td></td></tr> <tr><td>1805</td><td>1,840*</td><td>1805</td><td>1,840*</td><td>Requesting publication of SIR after Examiner action</td><td></td></tr> <tr><td>1251</td><td>120</td><td>2251</td><td>60</td><td>Extension for reply within first month</td><td></td></tr> <tr><td>1252</td><td>450</td><td>2252</td><td>225</td><td>Extension for reply within second month</td><td></td></tr> <tr><td>1253</td><td>1,020</td><td>2253</td><td>510</td><td>Extension for reply within third month</td><td></td></tr> <tr><td>1254</td><td>1,590</td><td>2254</td><td>795</td><td>Extension for reply within fourth month</td><td></td></tr> <tr><td>1255</td><td>2,160</td><td>2255</td><td>1,080</td><td>Extension for reply within fifth month</td><td></td></tr> <tr><td>1401</td><td>500</td><td>2401</td><td>250</td><td>Notice of Appeal</td><td></td></tr> <tr><td>1402</td><td>500</td><td>2402</td><td>250</td><td>Filing a brief in support of an appeal</td><td></td></tr> <tr><td>1403</td><td>1,000</td><td>2403</td><td>500</td><td>Request for oral hearing</td><td></td></tr> <tr><td>1451</td><td>1,510</td><td>1451</td><td>1,510</td><td>Petition to institute a public use proceeding</td><td></td></tr> <tr><td>1452</td><td>500</td><td>2452</td><td>250</td><td>Petition to revive - unavoidable</td><td></td></tr> <tr><td>1453</td><td>1,500</td><td>2453</td><td>750</td><td>Petition to revive - unintentional</td><td></td></tr> <tr><td>1501</td><td>1,400</td><td>2501</td><td>700</td><td>Utility issue fee (or reissue)</td><td></td></tr> <tr><td>1502</td><td>600</td><td>2502</td><td>400</td><td>Design issue fee</td><td></td></tr> <tr><td>1503</td><td>1,100</td><td>2503</td><td>550</td><td>Plant issue fee</td><td></td></tr> <tr><td>1807</td><td>50</td><td>1807</td><td>50</td><td>Processing fee under 37 CFR 1.17(q)</td><td></td></tr> <tr><td>1806</td><td>180</td><td>1806</td><td>180</td><td>Submission of information Disclosure Stmt</td><td></td></tr> <tr><td>8021</td><td>40</td><td>8021</td><td>40</td><td>Recording each patent assignment per property (times number of properties)</td><td></td></tr> <tr><td>1809</td><td>790</td><td>2809</td><td>395</td><td>Filing a submission after final rejection (37 CFR § 1.129(a))</td><td></td></tr> <tr><td>1810</td><td>790</td><td>2810</td><td>395</td><td>For each additional invention to be examined (37 CFR § 1.129(b))</td><td></td></tr> <tr><td>1801</td><td>790</td><td>2801</td><td>395</td><td>Request for Continued Examination (RCE)</td><td></td></tr> <tr><td>1802</td><td>900</td><td>1802</td><td>900</td><td>Request for expedited examination of a design application</td><td></td></tr> <tr><td>1814</td><td>130</td><td>2814</td><td>65.00</td><td>Statutory Disclaimer</td><td></td></tr> <tr> <td colspan="4">Other fee (specify): <u>Petition processing fee under 37 CFR 1.20(b)</u></td> <td></td> <td>130.00</td> </tr> <tr> <td colspan="4">*Reduced by Basic Filing Fee Paid</td> <td></td> <td></td> </tr> <tr> <td colspan="4" style="text-align: right;"><b>SUBTOTAL (3)</b></td> <td></td> <td><b>\$130.00</b></td> </tr> </tbody> </table>	Large Entity		Small Entity		Fee Description	Fee Paid	Fee Code	Fee (\$)	Fee Code	Fee (\$)	1051	130	2051	65	Surcharge - late filing fee or oath		1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet		1053	130	1053	130	Non-English specification		1812	2,520	1812	2,520	For filing a request for <i>ex parte</i> reexamination		1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action		1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action		1251	120	2251	60	Extension for reply within first month		1252	450	2252	225	Extension for reply within second month		1253	1,020	2253	510	Extension for reply within third month		1254	1,590	2254	795	Extension for reply within fourth month		1255	2,160	2255	1,080	Extension for reply within fifth month		1401	500	2401	250	Notice of Appeal		1402	500	2402	250	Filing a brief in support of an appeal		1403	1,000	2403	500	Request for oral hearing		1451	1,510	1451	1,510	Petition to institute a public use proceeding		1452	500	2452	250	Petition to revive - unavoidable		1453	1,500	2453	750	Petition to revive - unintentional		1501	1,400	2501	700	Utility issue fee (or reissue)		1502	600	2502	400	Design issue fee		1503	1,100	2503	550	Plant issue fee		1807	50	1807	50	Processing fee under 37 CFR 1.17(q)		1806	180	1806	180	Submission of information Disclosure Stmt		8021	40	8021	40	Recording each patent assignment per property (times number of properties)		1809	790	2809	395	Filing a submission after final rejection (37 CFR § 1.129(a))		1810	790	2810	395	For each additional invention to be examined (37 CFR § 1.129(b))		1801	790	2801	395	Request for Continued Examination (RCE)		1802	900	1802	900	Request for expedited examination of a design application		1814	130	2814	65.00	Statutory Disclaimer		Other fee (specify): <u>Petition processing fee under 37 CFR 1.20(b)</u>					130.00	*Reduced by Basic Filing Fee Paid						<b>SUBTOTAL (3)</b>					<b>\$130.00</b>
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1255	2,160	2255	1,080	Extension for reply within fifth month																																																																																																																																																																																																	
1401	500	2401	250	Notice of Appeal																																																																																																																																																																																																	
1402	500	2402	250	Filing a brief in support of an appeal																																																																																																																																																																																																	
1403	1,000	2403	500	Request for oral hearing																																																																																																																																																																																																	
1451	1,510	1451	1,510	Petition to institute a public use proceeding																																																																																																																																																																																																	
1452	500	2452	250	Petition to revive - unavoidable																																																																																																																																																																																																	
1453	1,500	2453	750	Petition to revive - unintentional																																																																																																																																																																																																	
1501	1,400	2501	700	Utility issue fee (or reissue)																																																																																																																																																																																																	
1502	600	2502	400	Design issue fee																																																																																																																																																																																																	
1503	1,100	2503	550	Plant issue fee																																																																																																																																																																																																	
1807	50	1807	50	Processing fee under 37 CFR 1.17(q)																																																																																																																																																																																																	
1806	180	1806	180	Submission of information Disclosure Stmt																																																																																																																																																																																																	
8021	40	8021	40	Recording each patent assignment per property (times number of properties)																																																																																																																																																																																																	
1809	790	2809	395	Filing a submission after final rejection (37 CFR § 1.129(a))																																																																																																																																																																																																	
1810	790	2810	395	For each additional invention to be examined (37 CFR § 1.129(b))																																																																																																																																																																																																	
1801	790	2801	395	Request for Continued Examination (RCE)																																																																																																																																																																																																	
1802	900	1802	900	Request for expedited examination of a design application																																																																																																																																																																																																	
1814	130	2814	65.00	Statutory Disclaimer																																																																																																																																																																																																	
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<b>SUBTOTAL (3)</b>					<b>\$130.00</b>																																																																																																																																																																																																
<b>1. BASIC FILING, SEARCH AND EXAMINATION FEES</b> <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th colspan="2">Large Entity</th> <th colspan="2">Small Entity</th> <th rowspan="2">Fee Description</th> <th rowspan="2">Fee Paid</th> </tr> <tr> <th>Fee Code</th> <th>Fee (\$)</th> <th>Fee Code</th> <th>Fee (\$)</th> </tr> </thead> <tbody> <tr><td>1001</td><td>790</td><td>2001</td><td>395</td><td>Utility filing fee (filed on or before 12/8/04)</td><td></td></tr> <tr><td>1011</td><td>300</td><td>2011</td><td>150</td><td>Utility filing fee (filed after 12/8/04)</td><td></td></tr> <tr><td>1111</td><td>500</td><td>2111</td><td>250</td><td>Search Fee</td><td></td></tr> <tr><td>1311</td><td>200</td><td>2311</td><td>100</td><td>Examination Fee</td><td></td></tr> <tr><td>1081</td><td>250</td><td>2081</td><td>125</td><td>For each additional 50 sheets exceeding 100</td><td></td></tr> <tr> <td colspan="4" style="text-align: right;"><b>SUBTOTAL (1)</b></td> <td></td> <td><b>\$</b></td> </tr> </tbody> </table> <b>2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE</b> <table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td>Total Claims</td> <td></td> <td>- 20** =</td> <td></td> <td>Extra Claims</td> <td></td> <td>Fee from below</td> <td></td> <td>Fee Paid</td> <td></td> </tr> <tr> <td>Independent Claims</td> <td></td> <td>- 3** =</td> <td></td> <td></td> <td></td> <td></td> <td></td> <td></td> <td></td> </tr> <tr> <td>Multiple Dependent</td> <td></td> <td></td> <td></td> <td></td> <td></td> <td></td> <td></td> <td></td> <td></td> </tr> </table> <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th colspan="2">Large Entity</th> <th colspan="2">Small Entity</th> <th rowspan="2">Fee Description</th> <th rowspan="2">Fee Paid</th> </tr> <tr> <th>Fee Code</th> <th>Fee (\$)</th> <th>Fee Code</th> <th>Fee (\$)</th> </tr> </thead> <tbody> <tr><td>1202</td><td>50</td><td>2202</td><td>25</td><td>Claim in excess of 20</td><td></td></tr> <tr><td>1201</td><td>200</td><td>2201</td><td>100</td><td>Independent claims in excess of 3</td><td></td></tr> <tr><td>1203</td><td>350</td><td>2203</td><td>180</td><td>Multiple dependent claim, if not paid</td><td></td></tr> <tr><td>1204</td><td>200</td><td>2204</td><td>100</td><td>** Reissue independent claims over original patent</td><td></td></tr> <tr><td>1205</td><td>50</td><td>2205</td><td>25</td><td>** Reissue claims in excess of 20 and over original patent</td><td></td></tr> <tr> <td colspan="4" style="text-align: right;"><b>SUBTOTAL (2)</b></td> <td></td> <td><b>\$</b></td> </tr> </tbody> </table> <p style="font-size: small;">**or number previously paid, if greater. For Reissues, see above</p>	Large Entity		Small Entity		Fee Description	Fee Paid	Fee Code	Fee (\$)	Fee Code	Fee (\$)	1001	790	2001	395	Utility filing fee (filed on or before 12/8/04)		1011	300	2011	150	Utility filing fee (filed after 12/8/04)		1111	500	2111	250	Search Fee		1311	200	2311	100	Examination Fee		1081	250	2081	125	For each additional 50 sheets exceeding 100		<b>SUBTOTAL (1)</b>					<b>\$</b>	Total Claims		- 20** =		Extra Claims		Fee from below		Fee Paid		Independent Claims		- 3** =								Multiple Dependent										Large Entity		Small Entity		Fee Description	Fee Paid	Fee Code	Fee (\$)	Fee Code	Fee (\$)	1202	50	2202	25	Claim in excess of 20		1201	200	2201	100	Independent claims in excess of 3		1203	350	2203	180	Multiple dependent claim, if not paid		1204	200	2204	100	** Reissue independent claims over original patent		1205	50	2205	25	** Reissue claims in excess of 20 and over original patent		<b>SUBTOTAL (2)</b>					<b>\$</b>																																																																											
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<b>SUBMITTED BY</b>		<i>Complete (if applicable)</i>	
Name (Print/Type)	Flavio M. Rose	Registration No. (Attorney/Agent)	40,791
Signature		Telephone	(650) 251-7700
		Date	February 15, 2006

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE **RECEIVED  
CENTRAL FAX CENTER**

In Re U.S. Patent of:  
Robert H. GRUBBS et al.

**APR 15 2008**

Patent No.: 6,111,121

Issued Date: August 29, 2000

Title: HIGH METATHESIS ACTIVITY RUTHENIUM AND OSMIUM METAL CARBENE  
COMPLEXES

**PETITION TO CORRECT INVENTORSHIP IN PATENT**  
**UNDER 37 CFR § 1.324(A)**

Mail Stop Petition  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

This is a petition to correct the inventorship of the subject patent so as to add Marcia B. France as a named joint inventor of the subject patent. The currently named inventors are Robert H. Grubbs, Peter Schwab, and Sonbinh T. Nguyen.

Pursuant to 37 CFR § 1.324(b), enclosed are the following documents in support of this petition:

1. Statement of Non-Deceptive Intent By Person Being Added By Petition to Change Inventorship in Patent, executed by Marcia B. France;
2. Statement of Non-Disagreement By Currently Named Inventors to Add Marcia B. France as a Joint Inventor in Patent, executed by Robert H. Grubbs, Peter Schwab and Sonbinh T. Nguyen;
3. Statement of Agreement by Assignee to Change Inventorship in Patent and Certification Under 37 CFR § 3.73(b);
4. Assignment from Currently Named Inventors to California Institute of Technology;
5. Assignment from Inventor Marcia B. France to California Institute of Technology;
6. Declaration signed by Marcia B. France;

7. Revocation of Power of Attorney with New Power of Attorney and Change of Correspondence Address, executed by Assignee;

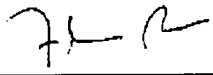
8. A check for \$130 to cover the fee set forth in 37 CFR § 1.20(b) required for filing this petition.

Thus, by way of this petition, favorable consideration to add Marcia B. France as a joint inventor in the subject patent is respectfully solicited.

The Commissioner is hereby authorized to charge any additional fees which may be required by this paper, or to credit any overpayment, to Deposit Account No. 18-0580.

Respectfully submitted,

By:

  
\_\_\_\_\_  
Flavio M. Rose  
Registration No. 40,791

REED IP LAW GROUP  
1400 Page Mill Road  
Palo Alto, California 94304-1124  
(650) 251-7700 Telephone  
(650) 251-7739 Facsimile

F:\Document\1950\0032\110\Correct Inventorship Petition.DOC

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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CENTRAL FAX CENTER

APR 15 2008

In Re U.S. Patent of:  
Robert H. GRUBBS et al.

Patent No.: 6,111,121

Issue Date: August 29, 2000

Title: HIGH METATHESIS ACTIVITY RUTHENIUM AND OSMIUM METAL CARBENE  
COMPLEXES

**STATEMENT OF NON-DECEPTIVE INTENT BY THE PERSON  
BEING ADDED BY PETITION TO CHANGE INVENTORSHIP IN PATENT UNDER 37  
C.F.R. § 1.324(A)**

Mail Stop Petition  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

I, Marcia B. France, hereby declare as follows:

1. That I am a co-inventor of U.S. Patent No. 6,111,121, filed on August 29, 2000, along with Robert H. Grubbs, Peter Schwab and Sonbinh T. Nguyen.
2. That it has now become apparent that the inventorship of the above referenced patent application was originally incorrectly identified as being Robert H. Grubbs, Peter Schwab and Sonbinh T. Nguyen, and that such identification was done without any deceptive intention on my part.

The undersigned further declares that all statements made herein of her own knowledge are true and that all statements on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under § 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the above-referenced patent.

Respectfully submitted,

By:

Marcia B. France

Name: Marcia B. France

Date:

May 27, 2005

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re U.S. Patent of:  
Robert H. GRUBBS et al.

Patent No.: 6,111,121

Issue Date: August 29, 2000

Title: HIGH METATHESIS ACTIVITY RUTHENIUM AND OSMIUM METAL CARBENE  
COMPLEXES

RECEIVED  
CENTRAL FAX CENTER

APR 15 2008

STATEMENT OF NON-DISAGREEMENT BY CURRENTLY NAMED INVENTORS  
TO ADD MARCIA B. FRANCE AS A JOINT INVENTOR IN PATENT

Mail Stop Petition  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

We, Robert H. Grubbs, Peter Schwab, and Sonbinh T. Nguyen, the currently named  
inventors of the subject patent, are in non-disagreement to add Marcia B. France as a joint  
inventor in the subject patent. Accordingly, by Petition to Correct Inventorship in Patent Under  
37 CFR § 1.324(a) submitted concurrently herewith, please add Marcia B. France as a joint  
inventor in the subject patent.

Respectfully submitted,

Date: May 15, 2005

By: 

Robert H. Grubbs  
First Joint Inventor

Date: \_\_\_\_\_

By: \_\_\_\_\_

Peter Schwab  
Second Joint Inventor

Date: \_\_\_\_\_

By: \_\_\_\_\_

Sonbinh T. Nguyen  
Third Joint Inventor

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re U.S. Patent of:  
Robert H. GRUBBS et al.

Patent No.: 6,111,121

Issue Date: August 29, 2000

Title: HIGH METATHESIS ACTIVITY RUTHENIUM AND OSMIUM METAL CARBENE  
COMPLEXES

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CENTRAL FAX CENTER  
APR 15 2008

**STATEMENT OF NON-DISAGREEMENT BY CURRENTLY NAMED INVENTORS  
TO ADD MARCIA B. FRANCE AS A JOINT INVENTOR IN PATENT**

Mail Stop Petition  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

We, Robert H. Grubbs, Peter Schwab, and Sonbinh T. Nguyen, the currently named inventors of the subject patent, are in non-disagreement to add Marcia B. France as a joint inventor in the subject patent. Accordingly, by Petition to Correct Inventorship in Patent Under 37 CFR § 1.324(a) submitted concurrently herewith, please add Marcia B. France as a joint inventor in the subject patent.

Respectfully submitted,


Date: \_\_\_\_\_

By: \_\_\_\_\_

Robert H. Grubbs  
First Joint Inventor

Date: 11/3/2005

By: \_\_\_\_\_

  
Peter Schwab  
Second Joint Inventor

Date: \_\_\_\_\_

By: \_\_\_\_\_

Sonbinh T. Nguyen  
Third Joint Inventor

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re U.S. Patent of:  
Robert H. GRUBBS et al.

Patent No.: 6,111,121

Issue Date: August 29, 2000

Title: HIGH METATHESIS ACTIVITY RUTHENIUM AND OSMIUM METAL CARBENE  
COMPLEXES

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CENTRAL FAX CENTER  
APR 15 2008

**STATEMENT OF NON-DISAGREEMENT BY CURRENTLY NAMED INVENTORS  
TO ADD MARCIA B. FRANCE AS A JOINT INVENTOR IN PATENT**

Mail Stop Petition  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

We, Robert H. Grubbs, Peter Schwab, and Sonbinh T. Nguyen, the currently named inventors of the subject patent, are in non-disagreement to add Marcia B. France as a joint inventor in the subject patent. Accordingly, by Petition to Correct Inventorship in Patent Under 37 CFR § 1.324(a) submitted concurrently herewith, please add Marcia B. France as a joint inventor in the subject patent.

Respectfully submitted,

Date: \_\_\_\_\_

By: \_\_\_\_\_

Robert H. Grubbs  
First Joint Inventor

Date: \_\_\_\_\_

By: \_\_\_\_\_

Peter Schwab  
Second Joint Inventor

Date: \_\_\_\_\_

By: \_\_\_\_\_

Sonbinh T. Nguyen  
Third Joint Inventor



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re U.S. Patent of:  
Robert H. GRUBBS et al.

Patent No.: 6,111,121

Issue Date: August 29, 2000

Title: HIGH METATHESIS ACTIVITY RUTHENIUM AND OSMIUM METAL CARBENE  
COMPLEXES

RECEIVED  
CENTRAL FAX CENTER  
APR 15 2008

**STATEMENT OF AGREEMENT BY ASSIGNEE TO CHANGE INVENTORSHIP IN  
PATENT AND CERTIFICATION UNDER 37 CFR § 3.73(b)**

Mail Stop Petition  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

California Institute of Technology, the assignee of the subject patent, is in full agreement with the currently named inventors, Robert H. Grubbs, Peter Schwab, and Sonbinh T. Nguyen, to add Marcia B. France as a joint inventor in the subject patent. Accordingly, by Petition to Correct Inventorship in Patent Under 37 CFR § 1.324(a) submitted concurrently herewith, please add Marcia B. France as a joint inventor in the subject patent.

California Institute of Technology, a corporation duly organized under and pursuant to the laws of California, and having its principal place of business at 1200 East California Boulevard, Pasadena, California 91125, certifies that it is the assignee of the entire right, title and interest in the U.S. patent identified above by virtue of an Assignment from each of the inventors of the U.S. patent identified above. The Assignments were recorded in the Patent and Trademark Office on October 16, 1996 at Reel 8183, Frame 0314 (in connection with parent application Serial No. 08/693,789, filed July 31, 1996, now U.S. Patent No. 5,831,108, issued November 3, 1998), and on January 23, 2006 at Reel 017045, Frame 0461. Copies of the Assignments are attached.

The undersigned has reviewed the Assignments of the U.S. patent identified above and, to the best of undersigned's knowledge and belief, title is in the assignee identified above.

The undersigned (whose title is supplied below) is empowered to act on behalf of the assignee.

I hereby declare that all statements made herein of my own knowledge are true, and that all statements made on information and belief are true; and further that these statements are made with the knowledge that willful false statements, and the like so made, are punishable by fine or imprisonment, or both, under 18 USC § 1001, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Respectfully submitted,

CALIFORNIA INSTITUTE OF TECHNOLOGY

Date: JAN 31 2006

By: 

Adam Cochran  
Intellectual Property Counsel

California Institute of Technology  
1200 East California Boulevard  
MC 201-85  
Pasadena, California 91125

APR 15 2008

PTO/SB/82 (04-05)

Approved for use through 11/30/2005. OMB 0651-0035  
U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**REVOCATION OF POWER OF  
ATTORNEY WITH  
NEW POWER OF ATTORNEY  
AND  
CHANGE OF CORRESPONDENCE ADDRESS**

Application Number	6,111,121
Filing Date	August 29, 2000
First Named Inventor	Robert Grubbs
Art Unit	1621
Examiner Name	Nazario Gonzalez Porfirio
Attorney Docket Number	1950-0032.10

I hereby revoke all previous powers of attorney given in the above-identified application.

☐ A Power of Attorney is submitted herewith.

OR

☒ I hereby appoint the practitioners associated with the Customer Number: 23980

☒ Please change the correspondence address for the above-identified application to:

☒ The address associated with  
Customer Number: 23980

OR

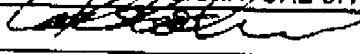
<input type="checkbox"/> Firm or Individual Name	Reed Intellectual Property Law Group				
Address	1400 Page Mill Road				
City	Palo Alto	State	CA	Zip	94304
Country	USA				
Telephone	650-251-7700	Email			

I am the:

☐ Applicant/Inventor.

☒ Assignee of record of the entire interest. See 37 CFR 3.71.  
Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96)

**SIGNATURE of Applicant or Assignee of Record**

Signature	
Name	Adam Cochran, IP Counsel, California Institute of Technology

Date	JAN 31 2008	Telephone	626-395-4567
------	-------------	-----------	--------------

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below.

☒ Total of 1 forms are submitted.

This collection of information is required by 37 CFR 1.36. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 3 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

APR 15 2008

Atty Dkt No.: 1950-0032.10

STATEMENT UNDER 37 C.F.R. §3.73(b)

TO THE COMMISSIONER FOR PATENTS

In re application of: Robert H. GRUBBS et al.  
Patent No.: 6,111,121  
Issued Date: August 29, 2000  
Entitled: HIGH METATHESIS ACTIVITY RUTHENIUM AND OSMIUM METAL CARBENE COMPLEXES

California Institute of Technology, a university, certifies that it is the assignee of the entire right, title and interest in the patent application identified above by virtue of either

A. ☒ An assignment from the inventors of the patent application identified above. The assignment was recorded in the United States Patent and Trademark Office.

1. From: Robert H. GRUBBS et al To: California Institute of Technology  
The document was recorded in the Patent and Trademark Office at Reel 8183, Frame 0314, or for which a copy thereof is attached.
2. From: Marcia B. FRANCE To: California Institute of Technology  
The document was recorded in the Patent and Trademark Office at Reel 017045, Frame 0461, or for which a copy thereof is attached.

OR

B. ☐ A chain of title from the inventor(s) of the patent application identified above, to the current assignee as shown below:

1. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.
2. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

☐ Additional documents in the chain of title of the patent application identified above and, to the best of the undersigned's knowledge and belief, title is in the assignee identified above.

☐ Copies of assignments or other documents in the chain of title are attached.  
a.)  
b.)  
c.)

The undersigned has reviewed all the documents in the chain of title of the patent application identified above and, to the best of undersigned's knowledge and belief, title is in the assignee identified above.

The undersigned (whose title is supplied below) is empowered to act on behalf of the assignee.

I hereby declare that all statements made herein of my own knowledge are true, and that all statements made on information and belief are true; and further that these statements are made with the knowledge that willful false statements, and the like so made, are punishable by fine or imprisonment, or both, under 18 U.S.C. §1001, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Assignee



JAN 31 2006

Date

Name: Adam Cochran

Title: Intellectual Property Counsel